

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) PTO Form 1449 (Modified) List of References	Attorney Docket No. 061165-5001-US	Serial No. 10/799,205
	Applicants Waelbroeck	
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U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	7,136,834	Apr. 2001	Merrin et al.			

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	EP Appln. No. 05729202 Supplementary European Search Report, 05-Feb-2008
	Domowitz I: "A Taxonomy of Automated Trade Execution Systems", Journal of International Money and Finance of Butterworth Scientific, Guildford, GB, vol. 12, 1993, pgs. 607-632
	Hendershott T: "Electronic Trading In Financial Markets", IT Pro, July/August 2003, pgs. 10-14

Examiner /Bruce Ebersman/	Date Considered 07/03/2008
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	